

EDGE Attestation and Discrepancy Reporting Process Overview for the 2017 Benefit Year

May 8 & 15, 2018

**Health Insurance Exchange Program
Training Series**

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[HTTPS://WWW.REGTAP.INFO](https://www.regtap.info)

Session Agenda

- Session Guidelines
- Intended Audience
- Purpose
- EDGE Server Timeline
- EDGE Attestation and Discrepancy Report Process Overview for 2017 Benefit Year
- Completing the EDGE Attestation and Discrepancy Reporting Process for 2017 Benefit Year
- Reminders & Next Steps
- Open Q&A
- Resources
- Closing Remarks

Session Guidelines

- This is a 90-minute webinar session.
- For questions regarding content, please submit inquiries to:
raripaymentoperations@cms.hhs.gov
 - Include **Attestation/Discrepancy** and the applicable **HIOS ID(s)** in the subject line.
- For questions regarding logistics and registration, please contact the Registrar at:
(800) 257-9520.

Intended Audience

- Health insurance issuers participating in the External Data Gathering Environment (EDGE) server process for the Risk Adjustment (RA) program
- Third Party Administrators (TPAs) and Support Vendors

Purpose

- Provide an overview of the updated EDGE attestation and discrepancy reporting process for the 2017 Benefit Year.
- Describe how to complete the attestation and discrepancy reporting process using the Attestation and Discrepancy Reporting Web Form.
- Identify the information needed prior to completing the web form.
- Identify how to access the web form.
- Review key dates and deadlines.

Announcements

HHS-RADV Sample Reports

- HHS-RADV Sample Reports Run Schedule:
- CMS will release the command to EDGE servers to run the HHS-RADV Sampling Reports:
 - Risk Adjustment Data Validation Population Summary Statistics Final (RADVPSF) Report
 - RADV Initial Validation Audit Statistics (RADVIVAS) Report
 - RADV Detailed Enrollee (RADVDE) Report
 - RADV Enrollment Extract (RADVEE) Report
 - RADV Medical Claim Extract (RADVMCE) Report and
 - RADV Supplemental Extract (RADVSE) Report

on May 11, 2018 at 12:01 a.m. Eastern Time (ET).

HHS-RADV Sample Reports (continued)

- Issuers should execute the command on their EDGE servers on May 11, 2018 by 10:00 a.m. ET.
- The HHS-RADV Sampling reports from the first run are provided to CMS ONLY, and are not available to issuers.
- After CMS validates that the RADV samples are representative of the issuers' populations, CMS will release the second command to issuers' EDGE servers to run the final version of the HHS-RADV Sampling reports.
- The final version of the HHS-RADV Sampling reports will be available to issuers on their EDGE servers later in the month.

EDGE Attestation and Discrepancy Reporting Process Overview

EDGE Attestation & Discrepancy Reporting Deadline

- Health insurance issuers participating in the EDGE server process for the RA program for the 2017 Benefit Year will be required to attest at a company level that the data on their EDGE servers is accurate and complete **by 11:59 p.m. ET on Friday, May 25, 2018.**
- If a company would like to report a discrepancy for one (1) or more of the Health Insurance Oversight System (HIOS) ID(s) within that company, the company will need to report the discrepancy and submit a qualified attestation **by 11:59 p.m. ET on Friday, May 25, 2018.**

EDGE Attestation & Discrepancy Reporting Deadline (continued)

- Issuers are required to complete an attestation even if the issuer does not intend to file a discrepancy.
- The 2017 Benefit Year attestation and final formal discrepancy reporting process **begins Thursday, May 10, 2018, and ends at 11:59 p.m. ET on Friday, May 25, 2018.**

EDGE Data Submission Deadline

Issuers were required to submit 2017 Benefit Year data by the EDGE data submission deadline:



EDGE Data Attestation and Discrepancy Reporting Window

The formal discrepancy window is from
05/10/2018 to 05/25/2018.



Resolving Discrepancies

- Filing a discrepancy will **NOT** permit an issuer to submit additional data to or correct data loaded on its EDGE server after the April 30, 2018, deadline.
- CMS will not take action to benefit an issuer (that is, decrease charges or increase payments) **UNLESS** a reported discrepancy describes a CMS error.* Specifically,
 - A processing error by CMS,
 - CMS's incorrect application of the relevant methodology, or
 - CMS's mathematical error

*One (1) caveat set forth: if the discrepancy describes a data submission error that results in a low claims count, the issuer will receive the RA default charge if it is smaller than the charge it would have otherwise received.

Resolving Discrepancies (continued)

IF...	THEN...
A discrepancy describes a data submission inaccuracy that results in an inappropriate payment to an issuer (or an under-charge)...	that issuer must reimburse CMS the full amount of that inappropriate payment.
A discrepancy describes a data submission error that results in a low enrollment count (that is, less than 90%)*...	that issuer will be subject to a RA default charge.
A discrepancy describes a data submission error that results in a low claims count (that is, less than 90%)*...	that issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.
A discrepancy demonstrates that an issuer has submitted incorrect EDGE server claims or diagnosis data that will have the effect of understating an issuer's plan average risk score, and thus negatively affecting the issuer <u>without</u> having a negative effect on other issuers within the market**...	CMS will not permit the issuer to submit supplementary data or correct existing EDGE server data after the data submission deadline. Therefore, CMS will require the issuer to adhere to the initial data submission and accept the consequences of the data submission.

*To determine if an issuer meets the data quantity standards, CMS compares an issuer's self-reported baseline data on its total enrollment and claims counts by market for a given benefit year to the issuer's data submitted and accepted to its EDGE server. For the 2017 Benefit Year, CMS will use a 90% enrollment and 90% claims data threshold for an issuer to be flagged for outreach on potential data quantity issues.

** Please see <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf>

Resolving Discrepancies (continued)

IF...	THEN...
A discrepancy demonstrates that an issuer has a “high side” data quality outlier...	CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.*
A discrepancy demonstrates that an issuer has a “low side” data quality outlier...	CMS will consider this a different version of a data quantity problem for claims. As such, the issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.
A discrepancy demonstrates that an issuer has a premium outlier, either for having a “high side” outlier or “low side” outlier...	CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.

Please see: <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf>

* Please see <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/RA-Adjustment-Guidance-9-2-15.pdf>.

No Data Can be Submitted After April 30, 2018



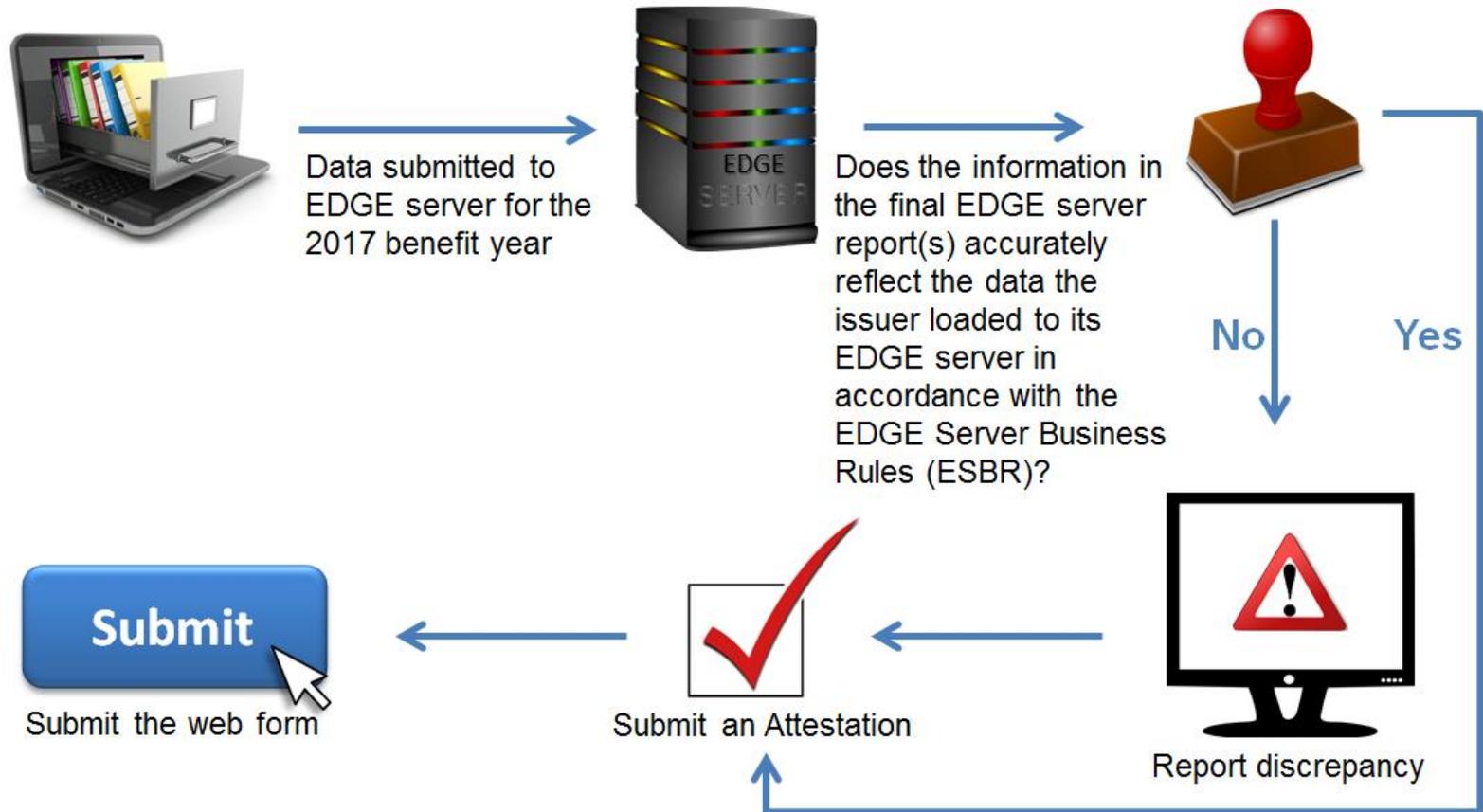
**Be aware of the data submission deadline
– FILING A DISCREPANCY DOES NOT
ALLOW ISSUERS TO SUBMIT NEW DATA
TO OR CORRECT EXISTING DATA ON AN
EDGE SERVER AFTER THE APRIL 30,
2018, DATA SUBMISSION DEADLINE.**

Completing the 2017 Benefit Year EDGE Attestation and Discrepancy Reporting Process



[HTTPS://WWW.REGTAP.INFO](https://www.regtap.info)

RA Attestation and Discrepancy Reporting Process Flow



2017 Benefit Year EDGE Attestation and Discrepancy Web Form Updates

- Returning users will see the following changes in the 2017 web form:

Area	Update
EDGE Server Data	All users will complete the EDGE Server Data page. The EDGE Server Data page collects specific information regarding any missing data from your EDGE server(s). The information provided on this page does not require that you submit a discrepancy; however, it allows CMS to evaluate if there is a potential impact of any data that is missing from your EDGE Server.

Information Needed Before Accessing the Web Form

- Log in information (Chief Executive Officer (CEO) Designate or Alternate CEO Designate email address, access code).



If your company's CEO Designate or Alternate CEO Designate has changed, you must update the contact information in the EDGE Server Contact Database web form:

<https://acapaymentoperations.secure.force.com/EdgeContactDatabase>

- If you anticipate changing your CEO Designate, please do so as soon as possible to minimize any confusion.

Contact Information Needed Before Accessing the Web Form

Alternate Contact Information:

- First Name
- Last Name
- Job Title
- Email Address
- Phone Number

Company Mailing Address:

- Address Line 1
- Address Line 2 (optional)
- City
- State
- Zip Code

Attester Details:

- First Name
- Job Title
- Phone Number
- Last Name
- Email Address

Accessing the Web Form

- Select the web form link from the email with the “ACTION REQUIRED: EDGE Attestation and Discrepancy Reporting for the 2017 Benefit Year” subject line.
 - The email will come from the RARI Payment Operations email box on Thursday, May 10, 2018.



Web Form: Welcome Page

You have an EDGE Server Contact Database Access Code.



Log in with Access Code.

* Login ID:
* Access Code:
[Forgot Access Code](#)

You have not previously accessed the EDGE Server Contact Database to create an Access Code.



Select the **EDGE Server Contact Database** link.

Instructions

To complete this web form, you must be the CEO Designate or Alternate CEO Designate, and you must have an EDGE Server Contact Database Access Code.

If you have not previously accessed the EDGE Server Contact Database, or if you forgot your Access Code, please select the following link for the EDGE Server Contact Database to either create or reset your access code.

<https://acpaymentoperations.secure.force.com/EdgeContactDatabase>

Enter the CEO Designate or Alternate CEO Designate email address into the **Login ID** field and your EDGE Server Contact Database Access Code into the **Access Code** field, and then select the **Log In** button.

You have forgotten your EDGE Server Contact Database Access Code.



Select the **Forgot Access Code** link to reset it.

* Login ID:
* Access Code:
[Forgot Access Code](#)

Web Form: Contact Information

Contact Information

Instructions

Your contact information will be pulled from the EDGE Server Contact Database and included as the submitter contact information for this web form. Enter Alternate Contact Information in the fields provided. The Submitter and Alternate Contact must be different.

Please enter your **Company Mailing Address** in the fields provided.

Note: Alternate Contact and Submitter Contact information will be displayed on the Summary page.

The red asterisk (*) indicates required fields.

Alternate Contact Information

* First Name:	<input type="text" value="Mary"/>	* Last Name:	<input type="text" value="Smith"/>
* Email Address:	<input type="text" value="msmith@somewhere.net"/>	* Job Title:	<input type="text" value="Analyst"/>
* Phone Number:	<input type="text" value="(555) 555-5555"/>	Phone Extension:	<input type="text"/>

Company Mailing Address

* Address Line 1:	<input type="text" value="123 Blue Street"/>				
Address Line 2:	<input type="text" value="Suite 117"/>				
* City:	<input type="text" value="Baltimore"/>	* State:	<input type="text" value="MD"/>	* Zip Code:	<input type="text" value="12345"/>

1. Enter the **Alternate Contact Information**. The Submitter and Alternate Contact must not be the same individual.
2. Enter your **Company's Mailing Address**.
3. Select the **Continue** button.



Exit

Web Form: EDGE Outlier Summary – Informational Purposes Only

- The EDGE Outlier Summary page and table will only display if your company has ever received prior notification from CMS of being flagged as having EDGE outlier data. If your company never received notification from CMS of being flagged as having EDGE outlier data, the form will navigate to the EDGE Server Data page.
- Select the **Generate PDF** button for a printable version.
- Select the **Continue** button to navigate to EDGE Server Data.

Web Form: EDGE Outlier Summary – Informational Purposes Only (continued)

EDGE Outlier Summary

The information contained on this page is for informational purposes only and will not affect your submission of an attestation or an attestation qualified by a discrepancy.

The EDGE Outlier Summary table below displays the HIOS ID(s) identified by CMS as outlier(s) during the EDGE Data Quality assessment for Risk Adjustment and the Issuer Justification Response Status. See <https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf> for more information regarding the EDGE Data Quality assessment.

Review the information in the table and select the **Continue** button to proceed.

Select the **Generate PDF** button for a printable version of this page.

Company Name: Paper Clip Co

Benefit Year: 2017

Outlier Response Summary

Record	HIOS ID	Outlier Metric	Classification	Issuer Outlier Justification Response Status
	04569	Average number of conditions per enrollee with at least one HCC	High	Pending Issuer Response
	04567	Average number of pharmacy claims per enrollee	Low	Pending Issuer Response
123	04568	Average number of diagnosis codes per medical claim	High	Pending Issuer Response

Generate PDF

Back

Exit

Continue



This page is for informational purposes only and will not affect your submission of attestation or discrepancy.

Web Form: EDGE Server Data

EDGE Server Data Page:

- Select **Yes** or **No** to the question, “**Are you missing any data on your EDGE Server(s) (e.g. you have un-submitted data)?**”
 - If **No**, select **Continue** and the form will navigate to the **Attest or Report Discrepancy** page.
 - If **Yes**:
 - Select HIOS ID(s) from the **Available HIOS ID(s)** list and then select the right facing arrow button to move it to the **Selected HIOS ID(s)** list.
 - Once you have selected all the HIOS ID(s) and market(s) that are missing data, select the **Create Data Table** button to create a table to quantify the data missing from the EDGE server for each HIOS ID and market.

Web Form: EDGE Server Data

(continued)

EDGE Server Data

The red asterisk (*) indicates required fields.

* Are you missing any data on your EDGE Server(s) (e.g. you have un-submitted data)?

- Yes
 No

* Select the HIOS ID(s) and market(s) missing data on its EDGE Server. ?

Select HIOS ID(s) by using the arrows above the **Available HIOS ID(s)** list to move the applicable HIOS ID(s) and market to the **Selected HIOS ID(s)** list. Remove selected HIOS ID(s) by using the arrows above the **Selected HIOS ID(s)** list.

Available HIOS ID(s)	Selected HIOS ID(s)
Showing all 3	Empty list
Filter	Filter
↔	←
→	↔
04567 - Small Group - Test Company C	
04568 - Merged - Test Company B	
04569 - Individual - Test Company A	

Web Form: EDGE Server Data

(continued)

Data Table Instructions:

- Enter the following required information for each HIOS ID and Market missing data on its EDGE server:
 - Number of Missing Enrollees
 - Member Months Associated with the Missing Enrollees
 - Billable Member Months Associated with the Missing Enrollees
 - Premium Amount Associated with the Missing Enrollees
 - Number of Missing Diagnosis Codes
 - Number of Enrollees with Missing Diagnosis Codes
- Select the **Continue** button and the form will navigate to the **Attest or Report Discrepancy** page.

Web Form: EDGE Server Data

(continued)

Note: Please contact us at raripaymentoperations@cms.hhs.gov if you are missing market information from the above table.

Data Table Instructions

Select the **Create Data Table** button to create a table to quantify the data missing from the EDGE Server for each HIOS ID and market. If you make updates to the HIOS ID/market selections, select the **Create Data Table** button to update the table information.

Select the **Delete Data Table** button to delete the table.

Create Data Table

Delete Data Table

* Enter the required information for each HIOS ID missing data on its EDGE Server:

HIOS ID	Market	Number of Missing Enrollees	Member Months Associated with the Missing Enrollees	Billable Member Months Associated with the Missing Enrollees	Premium Amount Associated with the Missing Enrollees	Number of Missing Diagnosis Codes	Number of Enrollees with Missing Diagnosis Codes
04568	Merged	25	2	2	5214.58	17	12
04569	Individual	154	7	6	48248.47	125	68

Back

Exit

Save

Continue

Web Form: Attest

* What would you like to do?

I want to attest for all HIOS IDs as I have no discrepancies to report.

I want to report at least one discrepancy and submit an attestation.

Back Exit Continue

Attest or Report Discrepancy:

- Answer the question, “What would you like to do?”
- If you select the “**I want to attest for all HIOS IDs as I have no discrepancies to report**” radio button,
 - Select the **Continue** button.
 - The form navigates to the **Summary** page.

Web Form: Summary

Summary Page:

- Review the **EDGE Server Data Summary** section to ensure accurate responses have been entered.
- Review the **No Discrepancies Reported for the Following HIOS ID(s)** section.

Summary

EDGE Server Data Summary

Select the **Edit** button to change your answer for missing data on the EDGE Server or to edit the information in the table below.

Missing Data on EDGE Server: No

Discrepancy Summary

No discrepancies have been reported.

No Discrepancies reported for the following HIOS ID(s):

HIOS ID(s)
04567, 04568, 04569

Web Form: Summary (continued)

Summary Page:

- Review the **Contact Information** section, and select the **Edit Contact Information** button to make corrections if necessary.
- Click 'View' to review the **Outlier Response Summary** (if applicable).

Contact Information

Select the **Edit Contact Information** button to edit the Alternate Contact and Company Mailing Address information. The Submitter and Alternate Contact **must** be different.

Alternate Contact Information

First Name:	<input type="text" value="Mary"/>	Last Name:	<input type="text" value="Smith"/>
Email Address:	<input type="text" value="msmith@somewhere.net"/>	Job Title:	<input type="text" value="Analyst"/>
Phone Number:	<input type="text" value="(555) 555-5555"/>	Phone Extension:	<input type="text"/>

Company Mailing Address

Address Line 1:	<input type="text" value="123 Blue Street"/>		
Address Line 2:	<input type="text" value="Suite 117"/>		
City:	<input type="text" value="Baltimore"/>	State:	<input type="text" value="MD"/>
Zip Code:	<input type="text" value="12345"/>		

[Edit Contact Information](#)

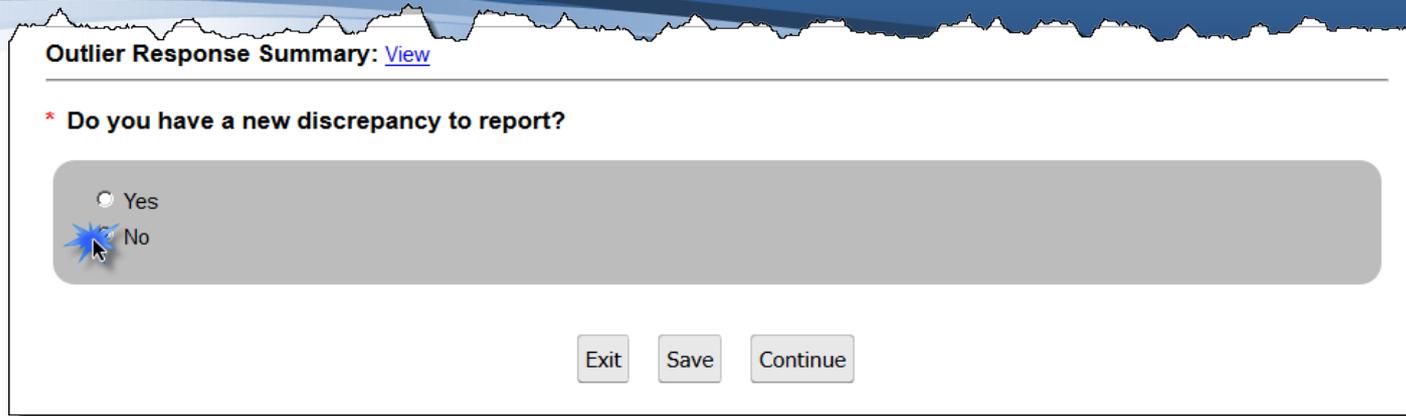
Note: If the Submitter Contact Information is incorrect, you must exit the web form and make updates in the EDGE Server Contact Database.

Submitter Contact Information

First Name:	<input type="text" value="John"/>	Last Name:	<input type="text" value="Jones"/>
Email Address:	<input type="text" value="jjones@somewhere.net"/>	Job Title:	<input type="text" value="Analyst"/>
Phone Number:	<input type="text" value="(333) 555-7789"/>	Phone Extension:	<input type="text" value="12345"/>

Outlier Response Summary: [View](#)

Web Form: Summary and Verification



Outlier Response Summary: [View](#)

* Do you have a new discrepancy to report?

Yes

No

Exit Save Continue

Summary Page (continued):

- Answer the question, “**Do you have a new discrepancy to report?**”
 - Select the **Continue** button.
 - The form will navigate to the **Discrepancy Specific Information** Page, if you selected the **Yes** radio button.
 - The form navigates to the **Attestation and Discrepancy Reporting Summary** page, if you select the **No** radio button.

Web Form: Attestation and Discrepancy Reporting Summary

Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s)
 - You will provide attestation for each of these HIOS ID(s) on the **Attestation** page.
- Select the **Continue** button.
- Form navigates to the **Attestation** page.

Attestation and Discrepancy Reporting Summary

Instructions

Thoroughly review the below HIOS ID(s) as you will be required to provide an attestation for the HIOS ID(s) on the following page of this web form.

You are providing an attestation for the following HIOS ID(s):

04567, 04568, 04569

You reported NO discrepancies for the following HIOS ID(s):

04567, 04568, 04569

Back

Exit

Save

Continue

Web Form: Attestation

Attestation Page:

- Thoroughly review the Attestation statements.
- Select the check box next to each Attestation statement to indicate agreement.

Attestation

Instructions

Select the check box next to each statement to attest for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page of this web form.

The red asterisk (*) indicates required fields.

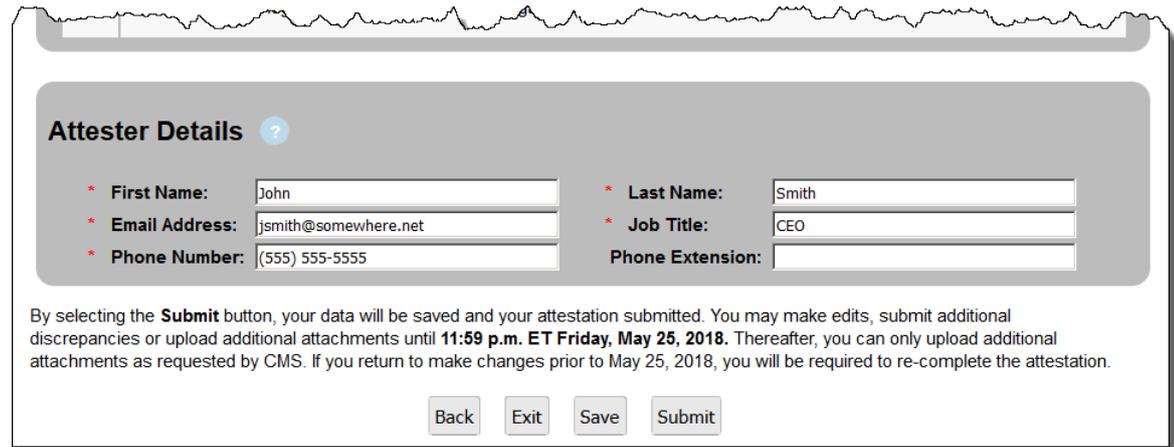
* As of 4/17/2018, I certify that, for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page, to the best of my information, knowledge, and belief:

<input checked="" type="checkbox"/>	Qualified by any discrepancy reported for the 2017 benefit year set forth herein, the final dedicated distributed data environment (EDGE server) reports accurately reflect the enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year.
<input checked="" type="checkbox"/>	The enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year is accurate and has been submitted in accordance with the regulatory and operational guidance for the EDGE server and risk adjustment program, as applicable.
<input checked="" type="checkbox"/>	The final self-reported baseline information for the 2017 benefit year is accurate.
<input checked="" type="checkbox"/>	The EDGE server data submitted by the 4:00 p.m. ET April 30, 2018 data submission deadline for the 2017 benefit year has been backed-up and moved to a secure location to comply with the 10-year maintenance of records regulatory requirements under and 45 CFR §153.620(b) and attest that you will comply with the data retention requirement to maintain data on your EDGE server for three (3) years.
<input checked="" type="checkbox"/>	I acknowledge that the data submitted to the EDGE server and made available for the permanent risk adjustment program established under Section 1343 of the Affordable Care Act, upon which final risk adjustment transfers are calculated, may be subject to the False Claims Act.
<input checked="" type="checkbox"/>	If my organization becomes aware that any of the data loaded to the EDGE server are untrue, inaccurate, or incomplete, my organization will promptly inform CMS.
<input checked="" type="checkbox"/>	I am authorized to legally and financially bind my organization.

Web Form: Attestation (continued)

Attestation Page:

- Complete the Attester Details section with the following information:
 - First Name
 - Last Name
 - Job Title
 - Email Address
 - Phone Number
 - Phone Extension (optional)



The screenshot shows a web form titled "Attester Details" with a help icon. It contains several input fields for personal information. The fields are arranged in two columns. The first column includes "First Name" (filled with "John"), "Email Address" (filled with "jsmith@somewhere.net"), and "Phone Number" (filled with "(555) 555-5555"). The second column includes "Last Name" (filled with "Smith"), "Job Title" (filled with "CEO"), and "Phone Extension" (empty). Below the form is a paragraph of text explaining the submission process and a deadline of 11:59 p.m. ET Friday, May 25, 2018. At the bottom of the form are four buttons: "Back", "Exit", "Save", and "Submit".

Attester Details ?

* First Name: * Last Name:

* Email Address: * Job Title:

* Phone Number: Phone Extension:

By selecting the **Submit** button, your data will be saved and your attestation submitted. You may make edits, submit additional discrepancies or upload additional attachments until **11:59 p.m. ET Friday, May 25, 2018**. Thereafter, you can only upload additional attachments as requested by CMS. If you return to make changes prior to May 25, 2018, you will be required to re-complete the attestation.

- Select the **Submit** button to save your data and submit your attestation.
- Form navigates to the **Confirmation** page.



The individual providing attestation must be someone who can legally and financially obligate the company, but does not have to be the Submitter, Alternate Contact or CEO.

Web Form: Report Discrepancy and Attest

Attest or Report Discrepancy and Attest Page:

- Answer the question, “What would you like to do?”
 - If you select the “**I want to report at least one discrepancy and submit an attestation**” radio button.
 - Select the **Continue** button.
 - The form navigates to the **Discrepancy-Specific** page.

* What would you like to do?

I want to attest for all HIOS IDs as I have no discrepancies to report.

I want to report at least one discrepancy and submit an attestation.

Back Exit Continue



You will need to attest to all HIOS ID(s), even if there are discrepancies. There will be a section in the web form to do this.

Web Form: Discrepancy-Specific Information

Discrepancy-Specific Information Page:

You may only report one (1) discrepancy at a time. However, you can select all the HIOS ID(s) for which a specific discrepancy applies.



You will have the opportunity to report additional discrepancies within the web form prior to submitting your attestation.

- Enter a nickname for the discrepancy you are reporting in the **Create a nickname for this discrepancy** field.
 - We suggest the nickname be descriptive of the discrepancy since this information appears on the Summary page.
- Select the HIOS ID(s) and market(s) for which you are reporting this specific discrepancy.

Web Form: Discrepancy-Specific Information (continued)

Discrepancy-Specific Information

Instructions

You may only report one discrepancy at a time. You can select all HIOS IDs that apply to a specific discrepancy and will have the opportunity to report additional discrepancies prior to submitting your attestation.

The red asterisk (*) indicates required fields.

* Create a nickname for this discrepancy:

* This discrepancy is being reported for the following HIOS ID(s) and market(s)  :

Select HIOS ID(s) by using the arrows above the **Available HIOS ID(s)** list to move the applicable HIOS ID(s) and market to the **Selected HIOS ID(s)** list. Remove selected HIOS ID(s) by using the arrows above the **Selected HIOS ID(s)** list.

Available HIOS ID(s) Showing all 2	Selected HIOS ID(s) Showing all 1
<input type="text" value="Filter"/>	<input type="text" value="Filter"/>
<div style="text-align: center;">→ → →</div>	<div style="text-align: center;">← ← ←</div>
04567 - Small Group - Test Company C 04568 - Merged - Test Company B	04569 - Individual - Test Company A

Web Form: Discrepancy-Specific Information (continued)

- Prepare to answer **Yes** or **No** to the following questions and statements. In some cases additional information, such as a Batch ID and a description of mitigation steps, will be required:
 - The information in the final risk adjustment reports listed below accurately reflect the data submitted to the EDGE server(s) by 4:00 p.m. ET on April 30, 2018.
 - Is this discrepancy related to any of your EDGE reports?
 - Is this discrepancy related to any of your risk adjustment reports?
 - Is there an Error Code associated with this discrepancy?
 - This question has the following possible answers: **Yes**, **No**, or **Don't Know**
- Select the **Continue** button.
- Form navigates to the **Discrepancy Description** page.



On the web form, the list of relevant EDGE and RA reports will be listed.

Web Form: Discrepancy-Specific Information (continued)

* Is this discrepancy related to any of your EDGE reports?

Yes No

* Select the applicable EDGE report(s) that describe your discrepancy and enter the Batch ID.

	EDGE Report Name	Batch ID
<input type="checkbox"/>	EDGE Server File Accept-Reject (ESFAR) report for enrollee, medical, pharmacy and supplemental	
<input checked="" type="checkbox"/>	EDGE Server Detail Medical Claim Error Report (ESDMCE)	XYZ-2017-98765
<input type="checkbox"/>	EDGE Server Detail Pharmacy Claim Error Report (ESDPCE)	
<input type="checkbox"/>	EDGE Server Detail Enrollment Error Report (ESDEE)	
<input type="checkbox"/>	EDGE Server Detail Supplemental Diagnosis File Error Report (ESDSFE)	
<input type="checkbox"/>	EDGE Server Summary Supplemental Diagnosis File Accept – Reject Error Report (ESSSFE)	
<input type="checkbox"/>	EDGE Server Summary Pharmacy Claim File Accept – Reject Error Report (ESSPFE)	
<input type="checkbox"/>	EDGE Server Summary Medical Claim File Accept – Reject Error Report (ESSMFE)	
<input type="checkbox"/>	EDGE Server Summary Enrollment Accept – Reject Error Report (ESSEFE)	

* Is this discrepancy related to any of your risk adjustment reports?

Yes No

* Select the applicable risk adjustment report(s) and enter the Batch ID.

	Risk Adjustment Report Name	Batch ID
<input type="checkbox"/>	RA Claims Selection Detail Report (RACSD)	
<input type="checkbox"/>	RA Claims Selection Summary Report (RACSS)	
<input type="checkbox"/>	RA Risk Score Detail Report (RARSD)	
<input type="checkbox"/>	RA Risk Score Summary Report (RARSS)	
<input type="checkbox"/>	RA User Fee Report (RAUF)	
<input checked="" type="checkbox"/>	EDGE Server RA Payment HCC Enrollee Report (RAPHCCER)	LMN-2017-13579
<input type="checkbox"/>	RADV Population Summary Report (RADVPS)	

Web Form: Discrepancy-Specific Information (continued)

RADV Population Summary Report (RADVPS) ?

*** Is there an error code associated with this discrepancy?**
 Yes No Don't Know

*** Error code:** 0.3.1 ▼

*** Type of file:** Supplemental ▼

Describe any mitigation steps taken:
Attempted to re-run the batch.

Maximum length 1,000 characters.

Back Exit Continue

Web Form: Discrepancy Description

Discrepancy Description Page:

- Enter a brief description for the discrepancy being reported.
 - You will have the ability to upload an attachment in support of this discrepancy or (multiple discrepancies).
 - Attachments are added on the **Summary** page.
- Enter the following required information for each HIOS ID and Market affected by the discrepancy:
 - Approximate percentage of claims impacted
 - Approximate percentage of enrollment records impacted
 - Expected **Difference** in Risk Score
- Select the **Continue** button.
- The form navigates to the **Summary** page.



NOTE

You will have the opportunity to upload attachments in support of your discrepancy on the Summary page.

Web Form: Discrepancy Description

(continued)

Discrepancy Description

Instructions

The red asterisk (*) indicates required fields.

*** Please describe this discrepancy:**

Discrepancy description text goes here. Discrepancy description text goes here.

Maximum length 1,000 characters.

Note: You will be given the option to upload a file in support of this discrepancy (or for multiple discrepancies) on the Summary page.

*** Enter the approximate percentages of claims and enrollment, and the expected difference in risk score for each HIOS ID/market in the table below:**

HIOS ID	Market	Approximate Percentage of Claims Impacted	Approximate Percentage of Enrollment Records Impacted	Expected Difference in Risk Score
04569	Individual	25	25	5.2

Back

Exit

Continue

Web Form: Summary

Summary:

- Review the **Edge Server Data Summary** section.
- Review the **Discrepancy Summary** section.
- Review the **Attachments Summary** section, if applicable.
 - Add attachments using the Upload Attachment button, if applicable.
- Ensure that the **Contact Information** listed is correct.
 - Select the **Edit Contact Information** button to make corrections, if applicable.
- Select View to review the **Outlier Response Summary** (if applicable).
- Select **Yes** or **No** in response to the question “Do you have a new discrepancy to report?”.
- Form navigates to the **Attestation and Discrepancy Reporting Summary** page, depending on the response to the question.

Web Form: Summary (continued)

Discrepancy Summary

Select the Action link next to the discrepancy to view, edit, or delete the selected discrepancy.

Action	Discrepancy Nickname	HIOS ID(s)	File(s) Uploaded
View Edit Delete	Discrepancy A	04569	×
View Edit Delete	Discrepancy B	04568	×

No Discrepancies reported for the following HIOS ID(s):

HIOS ID(s)
04567

Attachments Summary

Select the Action link next to the attachment to view, edit, or delete the selected attachment. To upload an attachment, select the **Upload Attachment** button.

Action	File Name	File Size	Associated Discrepancies
View Edit Delete	Sample Attachment.pdf	0.0050 MB	Discrepancy A Discrepancy B

Upload Attachment

Web Form: Upload Attachments

Upload Attachments:

- Select at least one (1) discrepancy to link to the attachments.
- Select the **Browse** button to search for a file.
- Select the **Upload Attachment** button to upload the selected attachment.
- Once all attachments have been uploaded, select the **Save & Return** button.
- The form will navigate to the **Summary** page.



Attached files must **NOT** contain any protected health information (PHI) or personally identifiable information (PII). Files containing PHI or PII will be deleted and not considered as part of the discrepancy filing.

Web Form: Upload Attachments (continued)

Upload Attachments

Instructions

The red asterisk (*) indicates required fields.

* Select at least one discrepancy to link to the attachment(s).

Select	Discrepancy Nickname	HIOS ID(s)	File(s) Uploaded
<input type="checkbox"/>	Discrepancy A	04569	
<input type="checkbox"/>	Discrepancy B	04568	

Please note: Uploaded files must **NOT** contain any protected health information (PHI) or personally identifiable information (PII). Files containing PHI or PII will be deleted and not considered as part of the discrepancy filing.

Upload a File

No file selected.

Maximum file size: 10 MB
Limit: 10 files per discrepancy

You have uploaded the following file(s). Select the Action link next to the attachment to view, edit, or delete the selected attachment. Once all attachments have been uploaded, select the **Save & Return** button to save your updates and return to the Summary page.

Action	File Name	File Size	Associated Discrepancies
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Web Form: Attestation and Discrepancy Reporting Summary

Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s) for accuracy.
- Thoroughly review the discrepancies reported for each HIOS ID(s).
- You will provide attestation on the **Attestation** page for all listed HIOS ID(s).
- Select the **Continue** button.
- The form navigates to the **Attestation** page.

Web Form: Attestation

Attestation Page:

- Thoroughly review the attestation statement.
- Select the check box next to each section of the attestation statement to indicate agreement.
- Complete the **Attester Details** section with the following information:
 - First Name
 - Last Name
 - Job Title
 - Email Address
 - Phone Number
 - Phone Extension (optional)
- Select the **Submit** button to save your data and submit your attestation.
- Form navigates to the **Confirmation** page.



The individual providing attestation must be someone who can legally and financially bind the company but does not have to be the Submitter, Alternate Contact or CEO.

Web Form: Attestation (continued)

Attestation

Instructions

Select the check box next to each statement to attest for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page of this web form.

The red asterisk (*) indicates required fields.

* As of 4/17/2018, I certify that, for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page, to the best of my information, knowledge, and belief:

<input checked="" type="checkbox"/>	Qualified by any discrepancy reported for the 2017 benefit year set forth herein, the final dedicated distributed data environment (EDGE server) reports accurately reflect the enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year.
<input checked="" type="checkbox"/>	The enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year is accurate and has been submitted in accordance with the regulatory and operational guidance for the EDGE server and risk adjustment program, as applicable.
<input checked="" type="checkbox"/>	The final self-reported baseline information for the 2017 benefit year is accurate.
<input checked="" type="checkbox"/>	The EDGE server data submitted by the 4:00 p.m. ET April 30, 2018 data submission deadline for the 2017 benefit year has been backed-up and moved to a secure location to comply with the 10-year maintenance of records regulatory requirements under and 45 CFR §153.620(b) and attest that you will comply with the data retention requirement to maintain data on your EDGE server for three (3) years.
<input checked="" type="checkbox"/>	I acknowledge that the data submitted to the EDGE server and made available for the permanent risk adjustment program established under Section 1343 of the Affordable Care Act, upon which final risk adjustment transfers are calculated, may be subject to the False Claims Act.
<input checked="" type="checkbox"/>	If my organization becomes aware that any of the data loaded to the EDGE server are untrue, inaccurate, or incomplete, my organization will promptly inform CMS.
<input checked="" type="checkbox"/>	I am authorized to legally and financially bind my organization.

Attester Details

Web Form: Attestation (continued)

Attester Details ?

* First Name:	<input type="text" value="John"/>	* Last Name:	<input type="text" value="Smith"/>
* Email Address:	<input type="text" value="jsmith@somewhere.net"/>	* Job Title:	<input type="text" value="CEO"/>
* Phone Number:	<input type="text" value="(555) 555-5555"/>	Phone Extension:	<input type="text"/>

By selecting the **Submit** button, your data will be saved and your attestation submitted. You may make edits, submit additional discrepancies or upload additional attachments until **11:59 p.m. ET Friday, May 25, 2018**. Thereafter, you can only upload additional attachments as requested by CMS. If you return to make changes prior to May 25, 2018, you will be required to re-complete the attestation.

Web Form: Confirmation

Confirmation Page:

- Submission End Time – date and time EDGE data attestation and discrepancies were submitted.
- Acknowledgement Email and Submission information sent to email addresses – submitter, Alternate Contact and attester email addresses listed.
- PDF button – generates a PDF containing all the HIOS ID number(s) submitted for attestation and if applicable, associated reported discrepancies.



It is recommended that you print and save a copy of the Confirmation information for your records.

Reminders & Next Steps

Reminders

- **Filing a discrepancy does not permit an issuer to submit new data to or correct data on an issuer's EDGE server after the April 30, 2018, data submission deadline.**
- The EDGE attestation and discrepancy reporting process for the 2017 Benefit Year requires completion of the web form.
- You will need to attest for all HIOS ID(s) by **May 25, 2018.**
- For questions about the process, please send an email to raripaymentoperations@cms.hhs.gov and include **Attestation/Discrepancy** and at least one (1) **HIOS ID** in the subject line.

Next Steps

First:

- Determine the person from your organization who will submit the Attestation and Discrepancy Reporting web form and an alternate contact and ensure that one (1) of them is the CEO Designate or Alternate CEO Designate.
- Determine any HIOS IDs that require attestation and if applicable, discrepancy reporting.
 - Gather any reports or supporting documentation related to a discrepancy.
- **Only after you have completed the above steps:**
- Complete the EDGE Attestation and Discrepancy Reporting web form.

Questions?

To submit or withdraw questions by phone:

- *Dial “**star(*)**, **pound (#)**” on your phone’s keypad to ask a question.*
- *Dial “**star(*)**, **pound (#)**” on your phone’s keypad to withdraw your question.*

Upcoming Webinar

Webinar	Scheduled Event Date
Attestation and Discrepancy Reporting	May 15, 2018

Resources



[HTTPS://WWW.REGTAP.INFO](https://www.regtap.info)

Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/cciiio/
Registration for Technical Assistance Portal (REGTAP) <ul style="list-style-type: none">• Registration• Resource Library• Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

FAQ Database on REGTAP

My Dashboard



FAQ Database is available at <https://www.regtap.info/>.

The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary Categories, Benefit Year and Publish Date.

FAQ Search

FAQ ID Enter single FAQ ID or multiple IDs (1-10 or 15,18,87)

Keyword/Phrase

Program Area
Select All
ACA Financial Appeals
Agent Broker
Distributed Data Collection for RI and RA/Edge Server
Enrollment and Eligibility

Primary Category

Secondary Category

Benefit Year Select All

Publish Date
Start Date 22 End Date 22

FAQs to Display:
 Current FAQs Only
 Retired FAQs Only
 All FAQs (Current and Retired)



DDC/EDGE Server Resource Page

The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines and other resources.

To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at <https://www.REGTAP.info/ddc.php>.



[Get Adobe Reader](#)

EDGE Plan Data Templates
Due 9/23/16

Days Left

6

Edge Server – Baseline Submission for 1st and 2nd Quarter 2016

NOVEMBER

2

Edge Server – Data Submission
90% of Enrollment Records / 25% of Medical & Pharmacy Claims for 1st and 2nd Quarter 2016

NOVEMBER

9

Edge Server Commands Deployed – ECS and Frequency Distribution Reports

NOVEMBER

10

Welcome to the Distributed Data Collection for RI and RA/Edge Server page designed to assist you with locating accurate and valuable information regarding External Data Gathering Environment (EDGE) server data submission.

CMS conducts the EDGE Server Webinar Series training sessions with the purpose of providing issuers with additional information related to data submission, testing, timelines, submission scenarios, and any additional guidance related to the data submission or blackout periods.

! IMPORTANT ANNOUNCEMENTS:

On June 10, 2016, there will be an EDGE server maintenance release which will update HHS-RADV sampling functionality. CMS will also release remote commands for preliminary HHS-RADV sampling reports. The reports will only be available to CMS.

TOPICS OF INTEREST

[DATA SUBMISSION](#)

[MEDICAL SUBMISSION](#)

[REGISTRATION & PROVISIONING](#)

[ENROLLMENT SUBMISSION](#)

[PHARMACY SUBMISSION](#)

[SUPPLEMENTAL SUBMISSION](#)

EDGE Core Resources

ICD Addendum

Interface Control Document (ICD)

Business Rules

XML/XSD Outbound Files

64

[HTTPS://WWW.REGTAP.INFO](https://www.REGTAP.INFO)

DDC/EDGE Server Resource Page

(continued)

TOPICS OF INTEREST

[DATA SUBMISSION](#)

[ENROLLMENT SUBMISSION](#)

[MEDICAL SUBMISSION](#)

[PHARMACY SUBMISSION](#)

[REGISTRATION & PROVISIONING](#)

[SUPPLEMENTAL SUBMISSION](#)

EDGE Core Resources

ICD Addendum



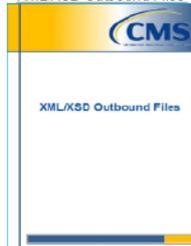
Interface Control Document (ICD)



Business Rules



XML/XSD Outbound Files



For quick access to related documentation, users can find resources organized by Topics of Interest, such as 'Medical Submission' or 'Registration'.

Users can also register for active EDGE Server training series, contact CMS, provide feedback and more.

NEW TO REGISTRATION?
click the button below

REGISTER
for next event session



DO YOU HAVE FEEDBACK?
click the button below

PROVIDE FEEDBACK
to DDC



NEW DDC FAQs
As of 07/31/17:

[FAQ 19054](#)

[FAQ 18770](#)

[FAQ 18401](#)

[FAQ 17860](#)

[FAQ 17806](#)



Closing Remarks