Session Agenda

• Session Guidelines
• Intended Audience
• Purpose
• EDGE Server Timeline
• EDGE Attestation and Discrepancy Report Process Overview for 2017 Benefit Year
• Completing the EDGE Attestation and Discrepancy Reporting Process for 2017 Benefit Year
• Reminders & Next Steps
• Open Q&A
• Resources
• Closing Remarks
Session Guidelines

• This is a 90-minute webinar session.
• For questions regarding content, please submit inquiries to: raripaymentoperations@cms.hhs.gov – Include **Attestation/Discrepancy** and the applicable **HIOS ID(s)** in the subject line.
• For questions regarding logistics and registration, please contact the Registrar at: (800) 257-9520.
Intended Audience

- Health insurance issuers participating in the External Data Gathering Environment (EDGE) server process for the Risk Adjustment (RA) program
- Third Party Administrators (TPAs) and Support Vendors
Purpose

• Provide an overview of the updated EDGE attestation and discrepancy reporting process for the 2017 Benefit Year.

• Describe how to complete the attestation and discrepancy reporting process using the Attestation and Discrepancy Reporting Web Form.

• Identify the information needed prior to completing the web form.

• Identify how to access the web form.

• Review key dates and deadlines.
Announcements
HHS-RADV Sample Reports

- HHS-RADV Sample Reports Run Schedule:
- CMS will release the command to EDGE servers to run the HHS-RADV Sampling Reports:
  - Risk Adjustment Data Validation Population Summary Statistics Final (RADVPSF) Report
  - RADV Initial Validation Audit Statistics (RADVIVAS) Report
  - RADV Detailed Enrollee (RADVDE) Report
  - RADV Enrollment Extract (RADVEE) Report
  - RADV Medical Claim Extract (RADVMCE) Report and
  - RADV Supplemental Extract (RADVSE) Report

on May 11, 2018 at 12:01 a.m. Eastern Time (ET).
• Issuers should execute the command on their EDGE servers on May 11, 2018 by 10:00 a.m. ET.

• The HHS-RADV Sampling reports from the first run are provided to CMS ONLY, and are not available to issuers.

• After CMS validates that the RADV samples are representative of the issuers’ populations, CMS will release the second command to issuers’ EDGE servers to run the final version of the HHS-RADV Sampling reports.

• The final version of the HHS-RADV Sampling reports will be available to issuers on their EDGE servers later in the month.
EDGE Attestation and Discrepancy Reporting Process Overview
EDGE Attestation & Discrepancy Reporting Deadline

• Health insurance issuers participating in the EDGE server process for the RA program for the 2017 Benefit Year will be required to attest at a company level that the data on their EDGE servers is accurate and complete by 11:59 p.m. ET on Friday, May 25, 2018.

• If a company would like to report a discrepancy for one (1) or more of the Heath Insurance Oversight System (HIOS) ID(s) within that company, the company will need to report the discrepancy and submit a qualified attestation by 11:59 p.m. ET on Friday, May 25, 2018.
• Issuers are required to complete an attestation even if the issuer does not intend to file a discrepancy.

• The 2017 Benefit Year attestation and final formal discrepancy reporting process begins Thursday, May 10, 2018, and ends at 11:59 p.m. ET on Friday, May 25, 2018.
Issuers were required to submit 2017 Benefit Year data by the EDGE data submission deadline:

**April 2018**

**Monday**

**30**

4:00 p.m. ET
The formal discrepancy window is from 05/10/2018 to 05/25/2018.
Resolving Discrepancies

• Filing a discrepancy will **NOT** permit an issuer to submit additional data to or correct data loaded on its EDGE server after the April 30, 2018, deadline.

• CMS will not take action to benefit an issuer (that is, decrease charges or increase payments) **UNLESS** a reported discrepancy describes a CMS error.* Specifically,
  
  − A processing error by CMS,
  − CMS’s incorrect application of the relevant methodology, or
  − CMS’s mathematical error

*One (1) caveat set forth: if the discrepancy describes a data submission error that results in a low claims count, the issuer will receive the RA default charge if it is smaller than the charge it would have otherwise received.
Resolving Discrepancies (continued)

<table>
<thead>
<tr>
<th>IF…</th>
<th>THEN…</th>
</tr>
</thead>
<tbody>
<tr>
<td>A discrepancy describes a data submission inaccuracy that results in an inappropriate payment to an issuer (or an under-charge)…</td>
<td>that issuer must reimburse CMS the full amount of that inappropriate payment.</td>
</tr>
<tr>
<td>A discrepancy describes a data submission error that results in a low enrollment count (that is, less than 90%)*…</td>
<td>that issuer will be subject to a RA default charge.</td>
</tr>
<tr>
<td>A discrepancy describes a data submission error that results in a low claims count (that is, less than 90%)*…</td>
<td>that issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.</td>
</tr>
<tr>
<td>A discrepancy demonstrates that an issuer has submitted incorrect EDGE server claims or diagnosis data that will have the effect of understating an issuer’s plan average risk score, and thus negatively affecting the issuer <strong>without</strong> having a negative effect on other issuers within the market**…</td>
<td>CMS will not permit the issuer to submit supplementary data or correct existing EDGE server data after the data submission deadline. Therefore, CMS will require the issuer to adhere to the initial data submission and accept the consequences of the data submission.</td>
</tr>
</tbody>
</table>

*To determine if an issuer meets the data quantity standards, CMS compares an issuer’s self-reported baseline data on its total enrollment and claims counts by market for a given benefit year to the issuer’s data submitted and accepted to its EDGE server. For the 2017 Benefit Year, CMS will use a 90% enrollment and 90% claims data threshold for an issuer to be flagged for outreach on potential data quantity issues. **Please see [https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf](https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf).
Resolving Discrepancies (continued)

<table>
<thead>
<tr>
<th>IF...</th>
<th>THEN...</th>
</tr>
</thead>
<tbody>
<tr>
<td>A discrepancy demonstrates that an issuer has a “high side” data quality outlier...</td>
<td>CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.*</td>
</tr>
<tr>
<td>A discrepancy demonstrates that an issuer has a “low side” data quality outlier...</td>
<td>CMS will consider this a different version of a data quantity problem for claims. As such, the issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.</td>
</tr>
<tr>
<td>A discrepancy demonstrates that an issuer has a premium outlier, either for having a “high side” outlier or “low side” outlier...</td>
<td>CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.</td>
</tr>
</tbody>
</table>


Be aware of the data submission deadline – FILING A DISCREPANCY **DOES NOT** ALLOW ISSUERS TO SUBMIT NEW DATA TO OR CORRECT EXISTING DATA ON AN EDGE SERVER AFTER THE APRIL 30, 2018, DATA SUBMISSION DEADLINE.
Completing the 2017 Benefit Year EDGE Attestation and Discrepancy Reporting Process
RA Attestation and Discrepancy Reporting Process Flow

Data submitted to EDGE server for the 2017 benefit year

Does the information in the final EDGE server report(s) accurately reflect the data the issuer loaded to its EDGE server in accordance with the EDGE Server Business Rules (ESBR)?

Submit

- Submit the web form
- Report discrepancy

Submit an Attestation
• Returning users will see the following changes in the 2017 web form:

<table>
<thead>
<tr>
<th>Area</th>
<th>Update</th>
</tr>
</thead>
<tbody>
<tr>
<td>EDGE Server Data</td>
<td>All users will complete the EDGE Server Data page. The EDGE Server Data page collects specific information regarding any missing data from your EDGE server(s). The information provided on this page does not require that you submit a discrepancy; however, it allows CMS to evaluate if there is a potential impact of any data that is missing from your EDGE Server.</td>
</tr>
</tbody>
</table>
Information Needed Before Accessing the Web Form

- Log in information (Chief Executive Officer (CEO) Designate or Alternate CEO Designate email address, access code).

  If your company’s CEO Designate or Alternate CEO Designate has changed, you must update the contact information in the EDGE Server Contact Database web form: [https://acapaymentoperations.secure.force.com/EdgeContactDatabase](https://acapaymentoperations.secure.force.com/EdgeContactDatabase)

- If you anticipate changing your CEO Designate, please do so as soon as possible to minimize any confusion.
Contact Information Needed Before Accessing the Web Form

Alternate Contact Information:
- First Name
- Last Name
- Job Title
- Email Address
- Phone Number

Company Mailing Address:
- Address Line 1
- Address Line 2 (optional)
- City
- State
- Zip Code

Attester Details:
- First Name
- Job Title
- Phone Number
- Last Name
- Email Address
Accessing the Web Form

- Select the web form link from the email with the “ACTION REQUIRED: EDGE Attestation and Discrepancy Reporting for the 2017 Benefit Year” subject line.
  - The email will come from the RARI Payment Operations email box on Thursday, May 10, 2018.
You have an EDGE Server Contact Database Access Code.

You have not previously accessed the EDGE Server Contact Database to create an Access Code.

You have forgotten your EDGE Server Contact Database Access Code.

Log in with Access Code.

Select the EDGE Server Contact Database link.

Select the Forgot Access Code link to reset it.
1. Enter the **Alternate Contact Information**. The Submitter and Alternate Contact must not be the same individual.

2. Enter your **Company’s Mailing Address**.

3. Select the **Continue** button.
The EDGE Outlier Summary page and table will only display if your company has ever received prior notification from CMS of being flagged as having EDGE outlier data. If your company never received notification from CMS of being flagged as having EDGE outlier data, the form will navigate to the EDGE Server Data page.

- Select the **Generate PDF** button for a printable version.
- Select the **Continue** button to navigate to EDGE Server Data.
EDGE Outlier Summary

The information contained on this page is for informational purposes only and will not affect your submission of an attestation or an attestation disqualified by a discrepancy.

The EDGE Outlier Summary table below displays the HIOS ID(s) identified by CMS as outlier(s) during the EDGE Data Quality assessment for Risk Adjustment and the Issuer Justification Response Status. See https://www.cms.gov/CCIO/Resources/Regulations-and-Guidance/Downloads/EDGE-Submissions-2017.pdf for more information regarding the EDGE Data Quality assessment.

Review the information in the table and select the Continue button to proceed.

Select the Generate PDF button for a printable version of this page.

Company Name: Paper Clip Co
Benefit Year: 2017

Outlier Response Summary

<table>
<thead>
<tr>
<th>Record</th>
<th>HIOS ID</th>
<th>Outlier Metric</th>
<th>Classification</th>
<th>Issuer Outlier Justification Response Status</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>04569</td>
<td>Average number of conditions per enrollee with at least one HCC</td>
<td>High</td>
<td>Pending Issuer Response</td>
</tr>
<tr>
<td></td>
<td>04567</td>
<td>Average number of pharmacy claims per enrollee</td>
<td>Low</td>
<td>Pending Issuer Response</td>
</tr>
<tr>
<td></td>
<td>123</td>
<td>Average number of diagnosis codes per medical claim</td>
<td>High</td>
<td>Pending Issuer Response</td>
</tr>
<tr>
<td></td>
<td>04568</td>
<td>Average number of drug claim per enrollee</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

This page is for informational purposes only and will not affect your submission of attestation or discrepancy.
EDGE Server Data Page:

- Select Yes or No to the question, “Are you missing any data on your EDGE Server(s) (e.g. you have un-submitted data)?”

- If No, select Continue and the form will navigate to the Attest or Report Discrepancy page.
- If Yes:
  - Select HIOS ID(s) from the Available HIOS ID(s) list and then select the right facing arrow button to move it to the Selected HIOS ID(s) list.
  - Once you have selected all the HIOS ID(s) and market(s) that are missing data, select the Create Data Table button to create a table to quantify the data missing from the EDGE server for each HIOS ID and market.
**EDGE Server Data**

The red asterisk (*) indicates required fields.

* Are you missing any data on your EDGE Server(s) (e.g. you have un-submitted data)?

- Yes
- No

* Select the HIOS ID(s) and market(s) missing data on its EDGE Server.

Select HIOS ID(s) by using the arrows above the Available HIOS ID(s) list to move the applicable HIOS ID(s) and market to the Selected HIOS ID(s) list. Remove selected HIOS ID(s) by using the arrows above the Selected HIOS ID(s) list.
Data Table Instructions:

• Enter the following required information for each HIOS ID and Market missing data on its EDGE server:
  − Number of Missing Enrollees
  − Member Months Associated with the Missing Enrollees
  − Billable Member Months Associated with the Missing Enrollees
  − Premium Amount Associated with the Missing Enrollees
  − Number of Missing Diagnosis Codes
  − Number of Enrollees with Missing Diagnosis Codes

• Select the **Continue** button and the form will navigate to the **Attest or Report Discrepancy** page.
Note: Please contact us at raripaymentoperations@cms.hhs.gov if you are missing market information from the above table.

**Data Table Instructions**

Select the **Create Data Table** button to create a table to quantify the data missing from the EDGE Server for each HIOS ID and market. If you make updates to the HIOS ID/market selections, select the **Create Data Table** button to update the table information.

Select the **Delete Data Table** button to delete the table.

* Enter the required information for each HIOS ID missing data on its EDGE Server:

<table>
<thead>
<tr>
<th>HIOS ID</th>
<th>Market</th>
<th>Number of Missing Enrollees</th>
<th>Member Months Associated with the Missing Enrollees</th>
<th>Billable Member Months Associated with the Missing Enrollees</th>
<th>Premium Amount Associated with the Missing Enrollees</th>
<th>Number of Missing Diagnosis Codes</th>
<th>Number of Enrollees with Missing Diagnosis Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>04588</td>
<td>Merged</td>
<td>25</td>
<td>2</td>
<td></td>
<td>5214.58</td>
<td>17</td>
<td>12</td>
</tr>
<tr>
<td>04509</td>
<td>Individual</td>
<td>154</td>
<td>7</td>
<td></td>
<td>48248.47</td>
<td>125</td>
<td>68</td>
</tr>
</tbody>
</table>
Web Form: Attest

Attest or Report Discrepancy:

• Answer the question, “What would you like to do?”
• If you select the “I want to attest for all HIOS IDs as I have no discrepancies to report” radio button,
  - Select the Continue button.
  - The form navigates to the Summary page.
Summary Page:

- Review the **EDGE Server Data Summary** section to ensure accurate responses have been entered.
- Review the **No Discrepancies Reported for the Following HIOS ID(s)** section.
Web Form: Summary (continued)

Summary Page:

- Review the Contact Information section, and select the Edit Contact Information button to make corrections if necessary.
- Click ‘View’ to review the Outlier Response Summary (if applicable).
Summary Page (continued):

- Answer the question, “Do you have a new discrepancy to report?”
  - Select the **Continue** button.
  - The form will navigate to the **Discrepancy Specific Information Page**, if you selected the **Yes** radio button.
  - The form navigates to the **Attestation and Discrepancy Reporting Summary** page, if you select the **No** radio button.
Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s)
  - You will provide attestation for each of these HIOS ID(s) on the **Attestation** page.
- Select the **Continue** button.
- Form navigates to the **Attestation** page.

**Attestation and Discrepancy Reporting Summary**

**Instructions**

Thoroughly review the below HIOS ID(s) as you will be required to provide an attestation for the HIOS ID(s) on the following page of this web form.

You are providing an attestation for the following HIOS ID(s):
04567, 04568, 04569

You reported NO discrepancies for the following HIOS ID(s):
04567, 04568, 04569
Web Form: Attestation

Attestation Page:

- Thoroughly review the Attestation statements.
- Select the check box next to each Attestation statement to indicate agreement.
Web Form: Attestation (continued)

Attestation Page:

- Complete the Attester Details section with the following information:
  - First Name
  - Last Name
  - Job Title
  - Email Address
  - Phone Number
  - Phone Extension (optional)

- Select the Submit button to save your data and submit your attestation.
- Form navigates to the Confirmation page.

The individual providing attestation must be someone who can legally and financially obligate the company, but does not have to be the Submitter, Alternate Contact or CEO.
Attest or Report Discrepancy and Attest Page:

- Answer the question, “What would you like to do?”
  - If you select the “I want to report at least one discrepancy and submit an attestation” radio button.
    - Select the Continue button.
    - The form navigates to the Discrepancy-Specific page.

You will need to attest to all HIOS ID(s), even if there are discrepancies. There will be a section in the web form to do this.
Discrepancy-Specific Information Page:

You may only report one (1) discrepancy at a time. However, you can select all the HIOS ID(s) for which a specific discrepancy applies.

• Enter a nickname for the discrepancy you are reporting in the **Create a nickname for this discrepancy** field.
  • We suggest the nickname be descriptive of the discrepancy since this information appears on the Summary page.
• Select the HIOS ID(s) and market(s) for which you are reporting this specific discrepancy.

**NOTE**
You will have the opportunity to report additional discrepancies within the web form prior to submitting your attestation.
Discrepancy-Specific Information

Instructions

You may only report one discrepancy at a time. You can select all HIOS IDs that apply to a specific discrepancy and will have the opportunity to report additional discrepancies prior to submitting your attestation.

The red asterisk (*) indicates required fields.

^ Create a nickname for this discrepancy: Discrepancy A

^ This discrepancy is being reported for the following HIOS ID(s) and market(s) ?:

Select HIOS ID(s) by using the arrows above the Available HIOS ID(s) list to move the applicable HIOS ID(s) and market to the Selected HIOS ID(s) list. Remove selected HIOS ID(s) by using the arrows above the Selected HIOS ID(s) list.
• Prepare to answer Yes or No to the following questions and statements. In some cases additional information, such as a Batch ID and a description of mitigation steps, will be required:
  − The information in the final risk adjustment reports listed below accurately reflect the data submitted to the EDGE server(s) by 4:00 p.m. ET on April 30, 2018.
  − Is this discrepancy related to any of your EDGE reports?
  − Is this discrepancy related to any of your risk adjustment reports?
  − Is there an Error Code associated with this discrepancy?
    ▪ This question has the following possible answers: Yes, No, or Don’t Know
• Select the Continue button.
• Form navigates to the Discrepancy Description page.

On the web form, the list of relevant EDGE and RA reports will be listed.
Web Form: Discrepancy-Specific Information (continued)

* Is this discrepancy related to any of your EDGE reports?
  - Yes
  - No

* Select the applicable EDGE report(s) that describe your discrepancy and enter the Batch ID.

<table>
<thead>
<tr>
<th>EDGE Report Name</th>
<th>Batch ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>EDGE Server File Accept-Reject (ESFAR) report for enrollee, medical, pharmacy and supplemental</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Detail Medical Claim Error Report (ESDMCE)</td>
<td>XYZ-2017-04765</td>
</tr>
<tr>
<td>EDGE Server Detail Pharmacy Claim Error Report (ESDPCE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Detail Enrollment Error Report (ESDEE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Detail Supplemental Diagnosis File Error Report (ESDSFE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Summary Supplemental Diagnosis File Accept – Reject Error Report (ESSSFCE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Summary Pharmacy Claim File Accept – Reject Error Report (ESSPFE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Summary Medical Claim File Accept – Reject Error Report (ESSMFE)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server Summary Enrollment Accept – Reject Error Report (ESSEFE)</td>
<td></td>
</tr>
</tbody>
</table>

* Is this discrepancy related to any of your risk adjustment reports?
  - Yes
  - No

* Select the applicable risk adjustment report(s) and enter the Batch ID.

<table>
<thead>
<tr>
<th>Risk Adjustment Report Name</th>
<th>Batch ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>RA Claims Selection Detail Report (RACSD)</td>
<td></td>
</tr>
<tr>
<td>RA Claims Selection Summary Report (RACSS)</td>
<td></td>
</tr>
<tr>
<td>RA Risk Score Detail Report (RARSD)</td>
<td></td>
</tr>
<tr>
<td>RA Risk Score Summary Report (RARSS)</td>
<td></td>
</tr>
<tr>
<td>RA User Fee Report (RAUF)</td>
<td></td>
</tr>
<tr>
<td>EDGE Server RA Payment HCC Enrollee Report (RAPHCCER)</td>
<td>JMN-2017-13579</td>
</tr>
<tr>
<td>RADV Population Summary Report (RADVPS)</td>
<td></td>
</tr>
</tbody>
</table>
Web Form: Discrepancy-Specific Information (continued)

* Is there an error code associated with this discrepancy?
  - Yes
  - No
  - Don't Know

* Error code: 03.1

* Type of file: Supplemental

Describe any mitigation steps taken:
Attempted to re-run the batch.

Maximum length 1,000 characters.
Discrepancy Description Page:

- Enter a brief description for the discrepancy being reported.
  - You will have the ability to upload an attachment in support of this discrepancy or (multiple discrepancies).
  - Attachments are added on the Summary page.
- Enter the following required information for each HIOS ID and Market affected by the discrepancy:
  - Approximate percentage of claims impacted
  - Approximate percentage of enrollment records impacted
  - Expected **Difference** in Risk Score
- Select the **Continue** button.
- The form navigates to the Summary page.

**NOTE**

You will have the opportunity to upload attachments in support of your discrepancy on the Summary page.
Discrepancy Description

Instructions
The red asterisk (*) indicates required fields.

* Please describe this discrepancy:


Maximum length 1,000 characters.

Note: You will be given the option to upload a file in support of this discrepancy (or for multiple discrepancies) on the Summary page.

* Enter the approximate percentages of claims and enrollment, and the expected difference in risk score for each HIOS ID/market in the table below:

<table>
<thead>
<tr>
<th>HIOS ID</th>
<th>Market</th>
<th>Approximate Percentage of Claims Impacted</th>
<th>Approximate Percentage of Enrollment Records Impacted</th>
<th>Expected Difference in Risk Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>04569</td>
<td>Individual</td>
<td>25</td>
<td>25</td>
<td>5.2</td>
</tr>
</tbody>
</table>

Back Exit Continue
Summary:

- Review the **Edge Server Data Summary** section.
- Review the **Discrepancy Summary** section.
- Review the **Attachments Summary** section, if applicable.
  - Add attachments using the Upload Attachment button, if applicable.
- Ensure that the **Contact Information** listed is correct.
  - Select the **Edit Contact Information** button to make corrections, if applicable.
- Select View to review the **Outlier Response Summary** (if applicable).
- Select **Yes** or **No** in response to the question “Do you have a new discrepancy to report?”.
- Form navigates to the **Attestation and Discrepancy Reporting Summary** page, depending on the response to the question.
## Discrepancy Summary

Select the Action link next to the discrepancy to view, edit, or delete the selected discrepancy.

<table>
<thead>
<tr>
<th>Action</th>
<th>Discrepancy Nickname</th>
<th>HIOS ID(s)</th>
<th>File(s) Uploaded</th>
</tr>
</thead>
<tbody>
<tr>
<td>View</td>
<td>Discrepancy A</td>
<td>04569</td>
<td>×</td>
</tr>
<tr>
<td>Edit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Delete</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>View</td>
<td>Discrepancy B</td>
<td>04568</td>
<td>×</td>
</tr>
<tr>
<td>Edit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Delete</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**No Discrepancies reported for the following HIOS ID(s):**

| HIOS ID(s) | 04567 |

## Attachments Summary

Select the Action link next to the attachment to view, edit, or delete the selected attachment. To upload an attachment, select the Upload Attachment button.

<table>
<thead>
<tr>
<th>Action</th>
<th>File Name</th>
<th>File Size</th>
<th>Associated Discrepancies</th>
</tr>
</thead>
<tbody>
<tr>
<td>View</td>
<td>Sample Attachment.pdf</td>
<td>0.0050 MB</td>
<td>Discrepancy A Discrepancy B</td>
</tr>
<tr>
<td>Edit</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Delete</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

[/*Image*/](HTTPS://WWW.REGTAP.INFO)
Web Form: Upload Attachments

Upload Attachments:

- Select at least one (1) discrepancy to link to the attachments.
- Select the **Browse** button to search for a file.
- Select the **Upload Attachment** button to upload the selected attachment.
- Once all attachments have been uploaded, select the **Save & Return** button.
- The form will navigate to the **Summary** page.

**NOTE**

Attached files must **NOT** contain any protected health information (PHI) or personally identifiable information (PII). Files containing PHI or PII will be deleted and not considered as part of the discrepancy filing.
Upload Attachments

Instructions

The red asterisk (*) indicates required fields.

* Select at least one discrepancy to link to the attachment(s).

<table>
<thead>
<tr>
<th>Select</th>
<th>Discrepancy Nickname</th>
<th>HIOS ID(s)</th>
<th>File(s) Uploaded</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Discrepancy A</td>
<td>04569</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Discrepancy B</td>
<td>04568</td>
<td></td>
</tr>
</tbody>
</table>

Please note: Uploaded files must NOT contain any protected health information (PHI) or personally identifiable information (PII). Files containing PHI or PII will be deleted and not considered as part of the discrepancy filing.

Upload a File

Browse... No file selected.

Upload Attachment

Maximum file size: 10 MB
Limit: 10 files per discrepancy

You have uploaded the following file(s). Select the Action link next to the attachment to view, edit, or delete the selected attachment. Once all attachments have been uploaded, select the Save & Return button to save your updates and return to the Summary page.

<table>
<thead>
<tr>
<th>Action</th>
<th>File Name</th>
<th>File Size</th>
<th>Associated Discrepancies</th>
</tr>
</thead>
</table>

Save & Return
Web Form: Attestation and Discrepancy Reporting Summary

Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s) for accuracy.
- Thoroughly review the discrepancies reported for each HIOS ID(s).
- You will provide attestation on the **Attestation** page for all listed HIOS ID(s).
- Select the **Continue** button.
- The form navigates to the **Attestation** page.
Web Form: Attestation

Attestation Page:

• Thoroughly review the attestation statement.
• Select the check box next to each section of the attestation statement to indicate agreement.
• Complete the Attester Details section with the following information:
  – First Name
  – Last Name
  – Job Title
  – Email Address
  – Phone Number
  – Phone Extension (optional)
• Select the Submit button to save your data and submit your attestation.
• Form navigates to the Confirmation page.

The individual providing attestation must be someone who can legally and financially bind the company but does not have to be the Submitter, Alternate Contact or CEO.
Attestation

Instructions

Select the check box next to each statement to attest for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page of this web form.

The red asterisk (*) indicates required fields.

* As of 4/17/2018, I certify that, for the HIOS ID(s) listed on the Attestation and Discrepancy Reporting Summary page, to the best of my information, knowledge, and belief:

- Qualified by any discrepancy reported for the 2017 benefit year set forth herein, the final dedicated distributed data environment (EDGE server) reports accurately reflect the enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year.
- The enrollment, claims and encounter data submitted to the EDGE server by 4:00 p.m. ET on April 30, 2018 for the 2017 benefit year is accurate and has been submitted in accordance with the regulatory and operational guidance for the EDGE server and risk adjustment program, as applicable.
- The final self-reported baseline information for the 2017 benefit year is accurate.
- The EDGE server data submitted by the 4:00 p.m. ET April 30, 2018 data submission deadline for the 2017 benefit year has been backed-up and moved to a secure location to comply with the 10-year maintenance of records regulatory requirements under 45 CFR §163.620(b) and attest that you will comply with the data retention requirement to maintain data on your EDGE server for three (3) years.
- I acknowledge that the data submitted to the EDGE server and made available for the permanent risk adjustment program established under Section 1343 of the Affordable Care Act, upon which final risk adjustment transfers are calculated, may be subject to the False Claims Act.
- If my organization becomes aware that any of the data loaded to the EDGE server are untrue, inaccurate, or incomplete, my organization will promptly inform CMS.
- I am authorized to legally and financially bind my organization.
Attester Details

* First Name: John
* Email Address: jsmith@somewhere.net
* Phone Number: (555) 555-5555

* Last Name: Smith
* Job Title: CEO

By selecting the Submit button, your data will be saved and your attestation submitted. You may make edits, submit additional discrepancies or upload additional attachments until 11:59 p.m. ET Friday, May 25, 2018. Thereafter, you can only upload additional attachments as requested by CMS. If you return to make changes prior to May 25, 2018, you will be required to re-complete the attestation.
Web Form: Confirmation

Confirmation Page:

- Submission End Time – date and time EDGE data attestation and discrepancies were submitted.
- Acknowledgement Email and Submission information sent to email addresses – submitter, Alternate Contact and attester email addresses listed.
- PDF button – generates a PDF containing all the HIOS ID number(s) submitted for attestation and if applicable, associated reported discrepancies.

It is recommended that you print and save a copy of the Confirmation information for your records.
Reminders & Next Steps
Reminders

- Filing a discrepancy does not permit an issuer to submit new data to or correct data on an issuer’s EDGE server after the April 30, 2018, data submission deadline.
- The EDGE attestation and discrepancy reporting process for the 2017 Benefit Year requires completion of the web form.
- You will need to attest for all HIOS ID(s) by May 25, 2018.
- For questions about the process, please send an email to raripaymentoperations@cms.hhs.gov and include Attestation/Discrepancy and at least one (1) HIOS ID in the subject line.
Next Steps

First:

• Determine the person from your organization who will submit the Attestation and Discrepancy Reporting web form and an alternate contact and ensure that one (1) of them is the CEO Designate or Alternate CEO Designate.

• Determine any HIOS IDs that require attestation and if applicable, discrepancy reporting.
  
  – Gather any reports or supporting documentation related to a discrepancy.

• Only after you have completed the above steps:

• Complete the EDGE Attestation and Discrepancy Reporting web form.
Questions?

To submit or withdraw questions by phone:

- **Dial “star(*)*, pound (#)” on your phone’s keypad to ask a question.**
- **Dial “star(*), pound (#)” on your phone’s keypad to withdraw your question.**
## Upcoming Webinar

<table>
<thead>
<tr>
<th>Webinar</th>
<th>Scheduled Event Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attestation and Discrepancy Reporting</td>
<td>May 15, 2018</td>
</tr>
</tbody>
</table>

[HTTPS://WWW.REGTAP.INFO/]
Resources
## Resources

<table>
<thead>
<tr>
<th>Resource</th>
<th>Link/Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center for Consumer Information and Insurance Oversight (CCIIO)</td>
<td><a href="http://cms.gov/ccio/">http://cms.gov/ccio/</a></td>
</tr>
<tr>
<td>Registration for Technical Assistance Portal (REGTAP)</td>
<td><a href="https://www.REGTAP.info/">https://www.REGTAP.info/</a></td>
</tr>
<tr>
<td>• Registration</td>
<td></td>
</tr>
<tr>
<td>• Resource Library</td>
<td></td>
</tr>
<tr>
<td>• Frequently Asked Questions (FAQs)</td>
<td></td>
</tr>
</tbody>
</table>
FAQ Database is available at https://www.regtap.info/.

The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary Categories, Benefit Year and Publish Date.
The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines and other resources.

To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on ‘My Dashboard’ or access the page at https://www.REGTAP.info/ddc.php.
For quick access to related documentation, users can find resources organized by Topics of Interest, such as ‘Medical Submission’ or ‘Registration’.

Users can also register for active EDGE Server training series, contact CMS, provide feedback and more.
Closing Remarks